



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/995,144

11/27/2001

Stephen G. Dick

I-2-175.1US

CONFIRMATION NO. 9935

## FORMALITIES LETTER



\*OC000000007179196\*

2437  
VOORPE AND KOENIG, PC  
DEPT ICC  
SUITE 400, ONE PENN CENTER  
1617 JOHN F. KENNEDY BOULEVARD  
PHILADELPHIA, PA 19103

Date Mailed: 12/11/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*TV*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

RECEIVED 12/11/2001 10:00 AM

12/11/2001 10:00 AM



**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Stephen G. Dick

**Application No.:** 09/995,144

**Confirmation No.:** 9935

**Filed:** November 27, 2001

**For:** CONTENTION ACCESS CONTROL  
SYSTEM AND METHOD

**Group:** 2681

**Examiner:** Not Yet Known

**Our File:** I-2-175.1US

**Date:** February 5, 2002

**COMMUNICATION IN RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF NONPROVISIONAL APPLICATION  
FILED UNDER 37 C.F.R. §1.53(b) - *FILING DATE GRANTED***

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice of Missing Parts mailed from the U.S. Patent and Trademark

Office on December 11, 2001, the following are enclosed:

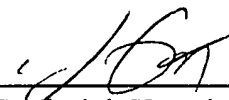
1. Copy of Notice of Missing Parts; and
2. Executed Declaration and Power of Attorney for Patent Application.

**Applicant:** Stephen G. Dick  
**Application No.:** 09/995,144

Fees are authorized to be charged per the accompanying Fee Transmittal. In the event that any additional fees are required with respect to this Communication, or in the event of an overpayment, please charge such additional fees or credit such overpayments to the Deposit Account of InterDigital Comm. Corp., No. 09-0435 Two copies of this Communication are enclosed.

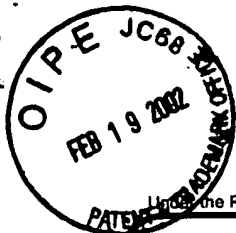
Respectfully submitted,

Stephen G. Dick

By   
C. Frederick Koenig III  
Registration No. 29,662  
(215) 568-6400

Volpe and Koenig, P.C.  
Suite 400, One Penn Center  
1617 John F. Kennedy Boulevard  
Philadelphia, PA 19103

CFK/fap  
Enclosures (2)



Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/995,144	
	Filing Date	November 27, 2001	
	First Named Inventor	Stephen G. Dick	
	Group Art Unit	2681	
	Examiner Name	Not Yet Known	
Total Number of Pages in This Submission	10	Attorney Docket Number	I-2-175.1US

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Copy of Notice to File Missing Parts (1 pg.); and Executed Declaration (2 pgs.).
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	C. Frederick Koenig III Volpe and Koenig, P.C.	Reg. No. 29,662
Signature		
Date	February 5, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box MISSING PARTS, Commissioner for Patents, Washington, DC 20231 on this date: February 5, 2002			
Typed or printed name	C. Frederick Koenig III		
Signature		Date	February 5, 2002

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# FEE TRANSMITTAL

## for FY 2002

Patent fees are subject to annual revision.

### Complete if Known

Application Number	09/995,144
Filing Date	November 27, 2001
First Named Inventor	Stephen G. Dick
Examiner Name	Not Yet Known
Group Art Unit	2681
Attorney Docket No.	I-2-175.1US

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 09-0435  
Deposit Account Name: InterDigital Comm. Corp.

- ☒ Charge any Deficiencies or Credit any Overpayment in the Total Fees Associated With This Communication  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

	Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370		Utility filing fee	
106 330	206 165		Design filing fee	
107 510	207 255		Plant filing fee	
108 740	208 370		Reissue filing fee	
114 160	214 80		Provisional filing fee	

SUBTOTAL (1) (\$ ) 0

#### 2. EXTRA CLAIM FEES

Total Claims:  - \*\* =  X  =   
Independent Claims:  - \*\* =  X  =   
Multiple Dependent:  =

	Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9		Claims in excess of 20	
102 84	202 42		Independent claims in excess of 3	
104 280	204 140		Multiple dependent claim, if not paid	
109 84	209 42		** Reissue independent claims over original patent	
110 18	210 9		** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ ) 0

\*\*or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description
105 130	205 65		Surcharge - late filing fee or oath
127 50	227 25		Surcharge - late provisional filing fee or cover sheet
139 130	139 130		Non-English specification
147 2,520	147 2,520		For filing a request for <i>ex parte</i> reexamination
112 920*	112 920*		Requesting publication of SIR prior to Examiner action
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action
115 110	215 55		Extension for reply within first month
116 400	216 200		Extension for reply within second month
117 920	217 460		Extension for reply within third month
118 1,440	218 720		Extension for reply within fourth month
128 1,960	228 980		Extension for reply within fifth month
119 320	219 160		Notice of Appeal
120 320	220 160		Filing a brief in support of an appeal
121 280	221 140		Request for oral hearing
138 1,510	138 1,510		Petition to institute a public use proceeding
140 110	240 55		Petition to revive - unavoidable
141 1,280	241 640		Petition to revive - unintentional
142 1,280	242 640		Utility issue fee (or reissue)
143 460	243 230		Design issue fee
144 620	244 310		Plant issue fee
122 130	122 130		Petitions to the Commissioner
123 50	123 50		Processing fee under 37 CFR 1.17(q)
126 180	126 180		Submission of Information Disclosure Stmt
581 40	581 40		Recording each patent assignment per property (times number of properties)
146 740	246 370		Filing a submission after final rejection (37 CFR § 1.129(a))
149 740	249 370		For each additional invention to be examined (37 CFR § 1.129(b))
179 740	279 370		Request for Continued Examination (RCE)
169 900	169 900		Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 130.00

### SUBMITTED BY

Name (Print/Type): C. Frederick Koenig III  
Signature:

Registration No. (Attorney/Agent): 29,662

### Complete (if applicable)

Telephone: 215-568-6400

Date: February 5, 2002

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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